# **Theoretical analysis of**  $\Lambda(1405) \rightarrow (\Sigma \pi)^0$  mass spectra produced **in**  $p + p \rightarrow p + \Lambda(1405) + K^+$  reactions

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(Received 30 October 2012; revised manuscript received 18 January 2013; published 8 May 2013)

We formulated the  $\Lambda(1405)$  (abbreviated as  $\Lambda^*$ )  $\to (\Sigma \pi)^0$  invariant-mass spectra produced in  $p + p \to$ *p* +  $\Lambda^*$  + *K*<sup>+</sup> reactions, in which both the incident channel for a quasibound *K*−*p* state and its decay process to  $(\Sigma \pi)^0$  were taken into account realistically. We calculated  $M(\Sigma \pi)$  spectral shapes for various theoretical models for  $\Lambda^*$ . These asymmetric and skewed shapes were then compared with recent experimental data of HADES, yielding  $M(\Lambda^*) = 1405^{+11}_{-9}$  MeV/ $c^2$  and  $\Gamma = 62 \pm 10$  MeV, where the interference effects of the  $\bar{K}N$ - $\Sigma \pi$ resonance with the  $I = 0$  and  $I \Sigma \pi$  continuum are considered. The nearly isotropic proton distribution observed in DISTO and HADES is ascribed to a short collision length in the production of  $\Lambda^*$ , which justifies the high sticking mechanism of  $\Lambda^*$  and the participating proton into  $K^-pp$ .

DOI: [10.1103/PhysRevC.87.055202](http://dx.doi.org/10.1103/PhysRevC.87.055202) PACS number(s): 21*.*45*.*−v, 13*.*75*.*−n, 21*.*30*.*Fe, 21*.*90*.*+f

### **I. INTRODUCTION**

The  $\Lambda(1405)$  resonance discovered in 1961 [\[1\]](#page-7-0) (called herein  $\Lambda^*$ ) has strangeness  $S = -1$ , spin-parity  $J^p = (\frac{1}{2})^-$ , and isospin  $I = 0$ . It has been interpreted as a quasibound state of  $K^-p$  embedded in the  $\Sigma + \pi$  continuum since Dalitz-Tuan's original prediction [\[2\]](#page-7-0). In recent years, Akaishi *et al.* derived phenomenologically a complex  $\bar{K}N$  interaction (called here the  $AY$  interaction)  $[3-5]$  based on the mass and width of  $\Lambda(1405)$ ,  $M = 1405.1^{+1.3}_{-1.0}$  MeV/c<sup>2</sup> and  $\Gamma =$  $50 \pm 2$  MeV [\[6–](#page-7-0)[8\]](#page-8-0) [the so-called  $\Lambda(1405)$  ansatz]. They applied this very attractive interaction to few-nucleon systems involving one and two  $\bar{K}$ 's and found nuclear bound states with unusually high nuclear density  $[3,9-12]$  $[3,9-12]$ . On the other hand, a totally different framework with a double-pole structure of  $\Lambda(1405)$  has emerged on the basis of chiral SU(3) dynamics (called here *Chiral*), on which  $\Lambda(1405)$  is claimed to consist of two poles around 1420 and 1390 MeV/ $c<sup>2</sup>$ , which are coupled mainly to  $\bar{K}N$  and  $\Sigma \pi$  channels, respectively [\[13,14\]](#page-8-0). Then, the resulting weakly attractive  $\bar{K}N$  interaction leads to much shallower  $\bar{K}$  bound states [\[15,16\]](#page-8-0).

Thus, it is vitally important to determine the location of the  $K^-p$  resonance, whether  $\Lambda(1405)$  is located at 1405 MeV/ $c^2$ or above 1420 MeV $/c^2$ , from experimental data without prejudice. For this purpose we have to treat the  $\Lambda(1405)$ structure with the *AY* model and the *Chiral* model on equal footing to be compared with experimental data. To resolve this issue, observations of  $M(\Sigma \pi)$  spectra associated with resonant formation of  $\Lambda^*$  in the stopped- $K^-$  absorption in <sup>3</sup>*,*4He [\[17\]](#page-8-0) and also in *d* [\[18\]](#page-8-0) have been proposed. Whereas old bubble-chamber experiments of stopped *K*<sup>−</sup> in 4He [\[19\]](#page-8-0) indicated a preference of  $\Lambda(1405)$  over  $\Lambda(1420)$  [\[8,18\]](#page-8-0), a much more precise experiment with a deuteron target is expected at J-PARC [\[20\]](#page-8-0). Alternatively, Jido *et al.* [\[21\]](#page-8-0) proposed an in-flight *K*<sup>−</sup> reaction on *d*, whereas Miyagawa and Haidenbauer [\[22\]](#page-8-0) questioned the effectiveness of this method. In any case, old data on the in-flight  $K^- + d$  reaction by Braun *et al.* [\[23\]](#page-8-0)

had a large statistical uncertainty in distinguishing  $\Lambda(1420)$ and  $\Lambda(1405)$ , according to our statistical analysis. Future experiments at J-PARC of both stopped-*K*<sup>−</sup> [\[20\]](#page-8-0) and in-flight *K*<sup>−</sup> [\[24\]](#page-8-0) on *d* are expected to give a convincing conclusion.

Recent experiments on high-energy *pp* collisions have produced important data on the production of  $\Lambda(1405)$ :

$$
p + p \to p + \Lambda^* + K^+, \quad \Lambda^* \to \Sigma^{+,0,-} + \pi^{-,0,+}.
$$
 (1)

The ANKE experiment at COSY with an incident kinetic energy  $(T_p)$  of 2.83 GeV by Zychor *et al.* [\[25\]](#page-8-0) has yielded a  $(\Sigma^0 \pi^0)^0$  invariant-mass spectrum. It was analyzed by Geng and Oset [\[26\]](#page-8-0) based on chiral SU(3) dynamics. They showed that the reaction in the  $\Lambda^*$  production region is dominated by the  $|T_{21}|^2 k_2$  process, and they claimed that the spectrum develops a pronounced strength around 1420 MeV*/c*2, which differs from the 1405 MeV $/c^2$  peak in Hemingway's data [\[27\]](#page-8-0) analyzed by the  $|T_{22}|^2 k_2$  process [\[6,7\]](#page-7-0) (see also Akaishi *et al.* [\[28\]](#page-8-0)). This result might have been accepted as evidence for a double-pole structure of  $\Lambda^*$  predicted by chiral SU(3) dynamics [\[13,14\]](#page-8-0), if the statistics of the data were good enough. The ANKE data were also analyzed by Esmaili *et al.* [\[18\]](#page-8-0), who, on the contrary, showed from a fair statistical comparison between the two models that the data were in more favor of the *AY* model, but the statistical significance was not sufficient to conclusively distinguish between *Chiral* and *AY* models. Thus, new data from HADES of GSI, which have just been published [\[29,30\]](#page-8-0), are valuable for solving the present controversy.

In the present paper we formulate the spectral shape of the  $(\Sigma \pi)^0$  mass to provide theoretical guides to analyze experimental data of  $(\Sigma \pi)^0$  mass spectra from the above reaction. We take into account both the formation and the decay processes of  $\Lambda(1405)$  in *pp* reactions realistically, following our  $\bar{K}N - \Sigma \pi$  coupled-channel formalism [\[5\]](#page-7-0). In this way, we derive the general form of the spectral function, which is not symmetric but skewed with respect to the pole position.

<span id="page-1-0"></span>Then, we analyze  $(\Sigma^{+-}\pi^{-+})^0$  spectra from HADES at  $T_p =$ 3.50 GeV [\[30\]](#page-8-0).

### **II. FORMULATION**

#### A. Coupled-channel treatment of  $\Lambda^*$

Our coupled-channel treatment of  $\Lambda(1405)$  is described in [\[5](#page-7-0)[,18\]](#page-8-0). We employ a set of separable potentials with a Yukawatype form factor,

$$
\langle \vec{k}'_i | v_{ij} | \vec{k}_j \rangle = g(\vec{k}'_i) U_{ij} g(\vec{k}_j), \qquad (2)
$$

$$
g(\vec{k}) = \frac{\Lambda^2}{\Lambda^2 + \vec{k}^2},\tag{3}
$$

$$
U_{ij} = \frac{1}{\pi^2} \frac{\hbar^2}{2\sqrt{\mu_i \mu_j}} \frac{1}{\Lambda} s_{ij},\tag{4}
$$

where *i* (*j*) stands for the  $\overline{K}N$  channel, 1, or the  $\pi \Sigma$  channel, 2, and  $\mu_i$  ( $\mu_j$ ) is the reduced mass of channel *i* (*j*). Two of the nondimensional strength parameters, *s*<sup>11</sup> and *s*12, with a fixed  $s_{22}$  are adjusted so as to reproduce a set of assumed *M* and  $\Gamma$ values for the  $\Lambda^*$  pole [\[5\]](#page-7-0). The transition matrices,

$$
\langle \vec{k}'_i | t_{ij} | \vec{k}_j \rangle = g(\vec{k}'_i) T_{ij} g(\vec{k}_j), \qquad (5)
$$

satisfy

$$
T_{ij} = U_{ij} + \sum_{l} U_{il} G_l T_{lj}, \qquad (6)
$$

$$
G_{l} = \frac{2\mu_{l}}{\hbar^{2}} \int d\vec{q} \; g(\vec{q}) \frac{1}{k_{l}^{2} - q^{2} + i\epsilon} \; g(\vec{q}). \tag{7}
$$

The solution is given in a matrix form by

$$
T = [1 - U G]^{-1} U \tag{8}
$$

with

$$
(UG)_{lj} = -s_{lj} \sqrt{\frac{\mu_j}{\mu_l}} \frac{\Lambda^2}{(\Lambda - i k_j)^2},\tag{9}
$$

where  $k_j$  is a relative momentum in channel *j*.

Among the matrix elements,  $T_{11}$ ,  $T_{12}$ ,  $T_{21}$ , and  $T_{22}$ , the experimentally observable quantities below the  $\bar{K} + N$  threshold are −(1*/π*) Im *T*11, |*T*21| <sup>2</sup>*k*2, and <sup>|</sup>*T*22<sup>|</sup> <sup>2</sup>*k*2, where the second term with  $g^2(k_2) g^2(k_1)$  is a  $\Sigma \pi$  invariant-mass spectrum from the conversion process,  $\bar{K}N \to \Sigma \pi$  (which we call the " $T_{21}$ " invariant mass"). The  $T_{21}$  invariant mass coincides with the *KN* missing-mass spectrum in the mass region below the  $\overline{K} + N$  threshold, as denoted by relation [\[18\]](#page-8-0), that

$$
\operatorname{Im} T_{11} = |T_{21}|^2 \operatorname{Im} G_2. \tag{10}
$$

The third term with  $g^4(k_2)$  is a  $\Sigma \pi$  invariant-mass spectrum from the scattering process,  $\Sigma \pi \longrightarrow \Sigma \pi$  (which we call the " $T_{22}$  invariant mass").

# **B.**  $\Lambda^* \to (\Sigma \pi)^0$  spectrum shape

The diagram for the reaction Eq. [\(1\)](#page-0-0) is shown in Fig. 1. The decay processes via  $T_{21}$  and  $T_{22}$  are also given in this figure. The kinematical variables in the c.m. of the *pp* collision for both the formation and the decay processes are given in Fig. 2.



FIG. 1. (Color online) Feynman diagrams for the  $p + p \rightarrow p + p$  $K^+ + \Lambda^* \rightarrow p + K^+ + (\Sigma \pi)^0$  reaction for (a) the process via  $T_{22}$ and (b) the process via  $T_{21}$ .

In the present reaction we use  $|T_{21}|^2 k_2$  because the incident channel to bring  $\Lambda(1405)$  is  $K^- + p$  together with  $K^+$  [see Fig. 1(b)]. This was also concluded by Geng and Oset [\[26\]](#page-8-0), who studied the reaction mechanism in detail. The  $|T_{22}|^2 k_2$ spectrum would be applicable when  $\Sigma$  and  $\pi$  mesons are available in the incident channel, as shown in Fig.  $1(a)$ . The  $|T_{22}|^2 k_2$  spectrum is characterized by a large tail [\[18\]](#page-8-0) in the higher-mass region up to the kinematical limit, which can in principle be recognizable by an observed spectrum. Experimentally, however, a bump in the upper-tail region



FIG. 2. (Color online) Kinematical variables in the center of mass of the *pp* collision for (a) the formation process,  $W_{\text{form}}$ , and (b) the decay channel, *G*(*x*).

<span id="page-2-0"></span>may be masked by an ambiguous shape of the continuous background and may thus be difficult to extract. We may allow a small admixture of  $|T_{22}|^2 k_2$  in our likelihood analysis of the experimental data.

The  $|T_{21}|^2 k_2$  and  $|T_{22}|^2 k_2$  curves of the *Chiral* model, as given by Hyodo and Weise [\[15\]](#page-8-0) as well as those of the *AY* model, are shown in Fig. [1](#page-1-0) (upper) of Ref. [\[18\]](#page-8-0). They will be compared with the new HADES data at the end of the present paper.

#### **C.** Spectral function in the *pp* reaction:  $S(x)$

Now, we consider the spectrum function of the invariant mass,  $S(x)$ , in the case of *pp* reactions. We compose it in the impulse approximation framework by using the incident channel function,  $W_{form}(x)$ , and the decay channel one,  $G(x)$ , as follows:

$$
S(x) = W_{\text{form}}(x) \times G(x),\tag{11}
$$

with

$$
x = M(\Sigma \pi). \tag{12}
$$

 $G(x)$  is expressed in terms of the *T* matrices,  $T_{22}$  and  $T_{21}$ , as shown in Figs.  $1(a)$  and  $1(b)$ . Each function calculated for an assumed *M* of the  $\Lambda^*$  pole is shown in Fig. 3.

### **D. Formation process function:** *W***form**

The  $\Lambda^*$  formation from  $pp$  collision is calculated in a similar way as was done in [\[4\]](#page-7-0). We apply an impulse approximation to the formation process of Fig. [1](#page-1-0) with a model impulse *t* matrix,

$$
\langle \vec{r}_{\Lambda^*-p}, \vec{r}_{(\Lambda^*p)-K^+} | t | \vec{r}_{p-p} \rangle
$$
  
=  $T_0 \delta(\vec{r}_{\Lambda^*-K^+}) \int d\vec{r} \frac{\exp(-r/b)}{b^2 r} \delta(\vec{r}_{\Lambda^*-p} - \vec{r}) \delta(\vec{r}_{p-p} - \vec{r}),$  (13)

where  $\vec{r}_{a-b} = \vec{r}_a - \vec{r}_b$ ,  $T_0$  is a strength parameter, and  $b = m_B c/\hbar$  is a range which affects the dependence of the reaction amplitude on the momentum transfer to the adjacent proton in the  $pp \to K^+ \Lambda^* p$  process. Then, the  $\Lambda^*$  formation probability is given as follows:

$$
W_{\text{form}}(x) = \frac{2|T_0|^2}{(2\pi)^3 (\hbar c)^6} \frac{E_0}{k_0} \int dE_1 \int d\Omega_1 d\Omega_2 \left(\frac{1}{1+b^2 Q^2}\right)^2
$$
  
 
$$
\times k_1 k_2 E_1 E_2 \left[1 + \frac{E_2}{E_3} \left(1 + \frac{k_1}{k_2} \cos(\theta_{pK^+})\right)\right]^{-1}, \tag{14}
$$

where  $E_0$  and  $k_0$  are the initial energy and momentum in the c.m. frame, as given by

$$
k_0 = \frac{1}{\hbar} \left[ \frac{1}{2} M_p T_p \right]^{\frac{1}{2}}.
$$
 (15)

The other quantities,  $k_2$ ,  $E_2$ , and  $E_3$ , become functions of *x* due to conservation of momentum and energy, which is applied to all the participating particles to take recoil effects into account.



FIG. 3. (Color online) Normalized spectral functions *S*(*x*) (a) composed of the formation-process function  $W_{\text{form}}$  (b) and the decay-process function  $G(x)$  (c) for  $T_p = 2.50, 2.85,$  and 3.50 GeV. *m<sub>B</sub>* = 770 MeV/ $c^2$  and  $(\theta_p, \theta_{pK^+})$  = (90°, 180°)*.* The *M* value of  $\Lambda^*$  is assumed to be 1405 MeV/ $c^2$ , as indicated by the vertical dashed line.

Also,  $\theta_{pK^+} = (\theta_p - \theta_{K^+})$  is the angle between  $K^+$  and p, b is the range of the *pp* reaction, and the momentum transfer, *Q*, is

$$
Q = \left[k_0^2 + k_2^2 - 2k_0k_2 \cos \theta_p\right]^{\frac{1}{2}}.
$$
 (16)

As can be seen from the factor  $1/(1 + b^2 Q^2)^2$ , a shorter range of *b* can effectively moderate the strong suppression due to a large momentum transfer, *Q*, in a high-energy *pp* collision.

Figure 3(b) shows the behavior of  $W_{\text{form}}(x)$  for  $T_p = 2.50$ , 2.83, and 3.50 GeV, the curves of which are normalized at  $x = 1400 \text{ MeV}/c^2$ . They have respective kinematical upper limits, which make the mass distribution damp toward the kinematical limit. As a result, the observed spectrum shape,  $S(x)$ , changes, as demonstrated in Fig. 3(a), whereas  $G(x)$  is independent of  $T_p$ .

#### **E.** Decay process function:  $G(x)$

The decay rate of  $\Lambda(1405)$  to  $(\Sigma \pi)^0$  is calculated by taking into account the emitted  $\Sigma$  and  $\pi$  particles realistically, following the generalized optical potential formalism in Feshbach theory [\[31\]](#page-8-0), given by Akaishi *et al.* [\[5,](#page-7-0)[28\]](#page-8-0). The

decay function,  $G(x)$ , is not simply a Lorentzian but is skewed because the kinematic freedom of the decay particles is limited, particularly, when the incident proton energy,  $T_p$ , decreases and approaches the production threshold. Its general form is given as

$$
G(x) = \frac{2(2\pi)^5}{\hbar^2 c^2} \frac{E_{\pi} E_{\Sigma}}{E_{\pi} + E_{\Sigma}} \text{Re}[\tilde{k}(x)] |\langle \tilde{k}(x)|t|\tilde{k_0}(x)\rangle|^2, \quad (17)
$$

where the relative momenta in the entrance and exit channels of Fig.  $2(b)$  are calculated by

$$
\tilde{k_0}(x) = \frac{c\sqrt{\lambda(x, m_K, M_p)}}{2\hbar x}
$$
\n(18)

and

$$
\tilde{k}(x) = \frac{c\sqrt{\lambda(x, m_{\pi}, M_{\Sigma})}}{2\hbar x}
$$
 (19)

with

$$
\lambda(x, m_1, m_2) \equiv (x + m_1 + m_2)(x + m_1 - m_2)
$$
  
 
$$
\times (x - m_1 + m_2)(x - m_1 - m_2). \quad (20)
$$

It should be noticed that  $\lambda(x, m_K, M_p)$  becomes negative at around  $x = 1400 \text{ MeV}/c^2$ , where we must choose a positive Im  $\tilde{k}$  on the physical Riemann sheet. This case corresponds to direct excitation of the  $\Lambda^*$  quasibound state from the  $p + p$ channel.

In the case of *AY*, the *T* matrix is

$$
\langle \tilde{k} | t_{21} | \tilde{k_0} \rangle = g(\tilde{k}) T_{21} g(\tilde{k}_0)
$$
 (21)

for the  $T_{21}$  process and

$$
g(\tilde{k}) = \frac{\Lambda^2}{\Lambda^2 + \tilde{k}^2}
$$
 (22)

with  $\Lambda = m_B'c/\hbar$ ,  $m_B'$  being the mass of an exchanged boson, and  $\overline{k}$  is the relative momentum of  $\Sigma$  and  $\pi$ .

The shape of  $G(x)$ , as given by Eq. (17), includes the momenta  $\tilde{k_0}$  and  $\tilde{k}$ , which are functions of  $T_p$ . However, the function  $G(x)$  is shown to depend only on the invariant-mass  $x$ ; namely,  $G(x)$  is a unique function of  $x$  and does not depend on  $T_p$ . It is bounded by the lower end  $(M_l = M_\Sigma + m_\pi =$ 1328 MeV/ $c^2$ ) and the upper end  $(M_u = M_p + m_{K^-})$ 1432 MeV/ $c^2$ ).

It is to be noted that the position of the peak in  $G(x)$ is significantly lower than the position of the pole  $(M =$ 1405 MeV/ $c^2$ ) in  $T_{21}$ , as assumed here and indicated by the vertical dashed line. Furthermore, the position of the peak (or centroid) of  $S(x)$  is lowered due to the formation channel function  $W_{form}(x)$ .

### **III. NUMERICAL RESULTS**

In this section we present results from numerical calculations, and we discuss their physical implications. The importance of the present work is to consider both  $W_{form}(x)$  and *G*(*x*) functions. In most illustrative samples, we applied the *AY* model with the Particle Data Group (PDG) parameters of [\[7\]](#page-7-0),  $M = 1407 \text{ MeV}/c^2$  and  $\Gamma = 50 \text{ MeV}$ . To compare the *Chiral* model with the *AY* model on equal footing, we also applied



FIG. 4. (Color online) Incident energy dependence of the absolute values of the spectral function at  $m_B = 770 \text{ MeV}/c^2$  and  $(\theta_p, \theta_{pK^+}) =$ (90◦*,* 180◦).

the same procedure as above to Hyodo-Weise's *T* matrices to obtain realistic spectrum shapes *S*(*x*).

### A. Dependence on the incident energy,  $T_p$

For Eqs.  $(11)$ ,  $(14)$ , and  $(17)$  again, it is clear that the spectral function depends on the incident proton energy due to the  $W_{\text{form}}(x)$  function and  $G(x)$ . Figure 4 shows absolute values of spectral functions  $S(x)$  for various incident energies  $(T_p)$  at  $m_B = 770 \text{ MeV}/c^2$  and  $(\theta_p, \theta_{pK^+}) = (90^\circ, 180^\circ)$ . The shape of  $S(x)$  is nearly the same, but toward the reaction threshold  $(T_p^{\text{thresh}} = 2.42 \text{ GeV})$  not only does the absolute value diminish but also the spectral shape changes drastically, as shown in Fig.  $3(a)$  for the normalized spectral functions at  $T_p = 3.50, 2.83,$  and  $2.50$  GeV. The most extreme case is seen at  $T_p = 2.50$  GeV, where the main part of  $x > 1400$  MeV/ $c^2$  is missing due to the kinematical constraint, and a very skewed component below 1400 MeV*/c*<sup>2</sup> appears.

### **B.** Behavior near the production threshold of  $T_p$

The above prediction is indeed in good agreement with the observed spectra of DISTO at  $T_p = 2.50$  and 2.85 GeV [\[33\]](#page-8-0), as shown in Fig. [5.](#page-4-0) Even in such a very skewed spectrum, one can extract the decay function,  $G(x)$ , from an observed spectral function by taking the ratio

$$
DEV[G(x)] \equiv \frac{S(x)^{obs}}{W_{form}(x)}
$$
 (23)

using a calculated *W*form function. This is a kind of the *deviation spectrum method* introduced in stopped-*K*<sup>−</sup> spectroscopy [\[18\]](#page-8-0).

#### **C. Angular distribution and correlation**

The cross section of this reaction has substantial angular dependence (Fig.  $6$ ), but the bound-state peak is distinct at any angle, and we can choose  $(\theta_p, \theta_{pK^+}) = (90^\circ, 180^\circ)$ , because the cross section is modest and the peak-to-background ratio

<span id="page-4-0"></span>

FIG. 5. (Color online) Experimental spectra of  $\Delta M(pK^+)$  in the  $pp \rightarrow p\Lambda K^{+}$  reaction at  $T_p = 2.50$  and 2.85 GeV in DISTO experiments. Taken from [\[33\]](#page-8-0).

remains large. The normalized cross sections (spectral shapes) at various angles are found to be nearly the same. Since the two incident protons are indistinguishable, the  $\Lambda(1405)$  formation process is angular symmetric, as shown in Fig. 6. We can write

$$
\sigma(\theta_p, \theta_{pK^+}) = \sigma(\pi - \theta_p, -\theta_{pK^+})
$$
\n(24)

for  $\theta_p = 0^\circ - 90^\circ$  and  $\theta_{pK^+} = 0^\circ - 180^\circ$ .

According to Eqs. [\(14\)](#page-2-0) and [\(16\),](#page-2-0)  $W_{\text{form}}$ , and thus the spectral function,  $S(x)$ , are related to the outgoing proton angle,  $\theta_p$ , and the angle between the outgoing proton and  $K^+$ ,  $\theta_{pK^+}$ , as shown in Fig. 7. Although these curves look different, the spectrum shape does not depend on the angle. We choose and use  $\theta_p =$ 90°,  $\theta_{pK^+} = 180^\circ$  in all of the following calculations.

### **D. Dependence on the exchanged boson mass**

Figure 6 shows the normalized angular distributions of the outgoing proton,  $\theta_p$ , for various masses of the exchanged



FIG. 6. (Color online) Normalized angular distributions of the outgoing proton for different exchanged boson masses,  $m_B = 2000$ , 770, and 140 MeV/ $c^2$ , at  $T_p = 3.50$  GeV.



FIG. 7. (Color online) The spectral functions for various angles,  $(\theta_p, \theta_{pK^+})$ , for  $T_p = 3.50$  GeV and  $m_B = 770$  MeV/ $c^2$ .

boson,  $m_B = 2000$ , 770, and 140 MeV/ $c^2$ , at  $T_p = 3.50$  GeV. The nearly isotropic angular distribution with a large boson mass explains the experimental data of HADES at  $T_p =$ 3*.*50 GeV [\[29,30\]](#page-8-0), which shows that the proton angular distributions together with  $\Lambda(1405)$  and  $\Lambda(1520)$  are nearly isotropic. A similar behavior is observed in the DISTO data at  $T_p$  = 2.85 GeV (see Fig. 5 of the present paper and Refs. [\[33,34\]](#page-8-0)). Such a short collision length as revealed in the production of  $\Lambda(1405)$  in the *pp* reaction is one of the key mechanisms  $(\Lambda^*$  doorway) responsible for forming  $K^-$ *pp* from high sticking of  $\Lambda^*$  and *p* [\[4\]](#page-7-0). On the other hand, it is well known that the proton emitted in the ordinary  $pp \rightarrow p + \Lambda + K^+$  reaction has sharp forward and backward distributions, indicating that the mediating boson is  $m_B = m_\pi$ [\[32–34\]](#page-8-0).

### **IV.** *χ***<sup>2</sup> FITTING OF HADES DATA**

### **A. HADES data**

In this section we analyze the recent HADES data for charged final states of  $\Sigma^-\pi^+$  and  $\Sigma^+\pi^-$  in a *pp* collision at  $T_p = 3.50$  GeV. The data we use are the missing-mass spectra,  $MM(pK^+)$ , deduced by the HADES group, as given in Fig. [1](#page-1-0) of [\[30\]](#page-8-0), which are corrected for acceptance and efficiency of the detector system. They are expressed as

$$
Y(x) = Y_{\Lambda^*}(x) + Y_{\Sigma^*}(x) + Y_{\Lambda 1520}(x) + Y_{\text{NonRes}}(x), \quad (25)
$$

with  $Y_{\Lambda^*}$  for  $\Lambda^*$ ,  $Y_{\Sigma^*}$  for  $\Sigma(1385)$ ,  $Y_{\Lambda1520}$  for  $\Lambda(1520)$ , and *Y*<sub>NonRes</sub> for the nonresonant continuum. The HADES group decomposed the experimental data,  $Y(x)$ , by the above four components, which were obtained by model simulations, among which the  $\Sigma(1385)$  and the  $\Lambda(1520)$  components were determined by using the experimental data. The shape of the nonresonant  $\Sigma \pi$  continuum was simulated. In their fitting they cautiously excluded the area around  $1400 \text{ MeV}/c^2$  for  $MM(pK^+)$  in order not to bias the finally extracted shape of the  $\Lambda^*$  resonance. Then, they found that a simulation of the  $\Lambda^*$  region by using a relativistic  $s$ -wave Breit-Wigner distribution with a width of 50 MeV/ $c<sup>2</sup>$  and a pole mass of 1385 MeV $/c^2$  can reproduce the experimental data very well, but using instead the nominal mass of  $1405 \text{ MeV}/c^2$  fails.

<span id="page-5-0"></span>This conclusion depends on their assumption of the symmetric Breit-Wigner shape, which is not valid in the case of a broad resonance with adjacent endpoints,  $M(\Sigma + \pi)$  and  $M(p + K^-)$ , as we have seen. Thus, in turn, we decided to set up an excess component,  $Y_{\Lambda^*}(x)$ , by subtracting the given three components from the experimental spectrum  $Y(x)$  as

$$
Y_{\Lambda^*}(x) = Y(x) - Y_{\Sigma^*}(x) - Y_{\Lambda 1520}(x) - Y_{\text{NonRes}}(x), \quad (26)
$$

where the statistical errors of  $Y(x)$  are inherited to  $Y_{\Lambda^*}(x)$ .

## **B.** Interference effects between the  $\bar{K}N$  resonance and the  $\Sigma \pi$  continuum

Before going into the analysis of the HADES data we discuss possible interference effects between the  $\bar{K}N$  resonance and the  $\Sigma \pi$  continuum.

### *1. Interference with the*  $I = 1 \Sigma \pi$  *continuum*

The charge-basis *T* matrices are related to the isospin-basis *T* matrices as

$$
|T_{\Sigma^{+}\pi^{-}}|^{2} \approx \frac{1}{3}|T_{I=0}|^{2} + \frac{1}{2}|T_{I=1}|^{2} + \sqrt{\frac{2}{3}}|T_{I=0}T_{I=1}|, \qquad (27)
$$

$$
|T_{\Sigma^-\pi^+}|^2 \approx \frac{1}{3}|T_{I=0}|^2 + \frac{1}{2}|T_{I=1}|^2 - \sqrt{\frac{2}{3}}|T_{I=0}T_{I=1}|,\qquad(28)
$$

where  $|T_{I=2}|^2$  is neglected. The HADES  $\Sigma^+\pi^-$  and  $\Sigma^-\pi^+$ data show similar behavior: the  $\chi^2$  best-fit mass of each of the two spectra is obtained to be very close to one another. This means that the interference term between  $I = 0$  and  $I = 1$  has only a small effect on the resonance spectral shape. Then, we can treat the  $I = 1$  contribution as a part of  $Y_{\text{NonRes}}$  in the analysis of the  $I = 0$   $\Lambda^*$  resonance, disregarding the interference especially for the sum of the  $\Sigma^+\pi^-$  and  $\Sigma^-\pi^+$  data.

#### *2. Interference with the*  $I = 0 \Sigma \pi$  *continuum*

 $\Lambda(1405)$  (= $\Lambda^*$ ) is an *I* = 0 *L* = 0 *KN* resonance state coupled with the  $I = 0$   $L = 0$   $\Sigma \pi$  continuum. Our theoretical spectrum curves in Fig. [11](#page-6-0) already include the  $\bar{K}N$  threshold effect and also the interference effect with the  $I = 0$   $L = 0$  $\Sigma \pi$  continuum, because we have solved a  $\bar{K}N$ - $\Sigma \pi$  coupledchannel  $T$ -matrix equation. Thanks to the separation of  $Y_{\text{NonRes}}$ by the HADES group we need not calculate contributions from the  $I = 0$   $L \ge 1$   $\Sigma \pi$  continuum and  $I = 1$  all  $L \Sigma \pi$ continuum, which cause no interference to the  $I = 0$   $L = 0$  $\Lambda^*$  resonance and therefore can be treated as *Y*<sub>NonRes</sub>: this is a great advantage of the HADES data for extracting the resonance-pole parameters, the mass and the width of  $\Lambda^*$ .

Now we estimate the effect of the  $\bar{K}N$  threshold and the effect of interference with the  $I = 0$   $L = 0$   $\Sigma \pi$  continuum. By fixing the mass of  $\Lambda^*$  to be 1405 MeV/ $c^2$ , we change AMY's interaction strengths,  $s_{11}, s_{12} = s_{21}$ , so as to reproduce a given width range of 10–70 MeV. The obtained mass spectra are discussed below.

Figure 8 shows the  $\bar{K}N$  threshold effect on the  $\Sigma \pi$ invariant mass spectrum,  $|t_{21}|^2 k_2$ , where the interference effect



FIG. 8. (Color online) Transition mass spectrum,  $|t_{21}|^2 k_2$ , including the  $\bar{K}N$  threshold effect. All the heights are normalized to a same value.

is suppressed by putting  $s_{22} = 0$ . When the width is narrow enough, the spectrum is almost symmetric with a peak close to the pole position. When the width becomes wide, the peak position is lowered from the pole position and the spectrum shape is skewed: this is the  $\bar{K}N$  threshold effect on the spectrum. Figure 9 shows results when the interference effect with the  $I = 0$   $L = 0$   $\Sigma \pi$  continuum is switched on. The interference effect is not so large for the transition mass spectrum,  $|t_{21}|^2 k_2$ , since the entrance channel to form  $\Lambda^*$  has no  $Σπ$  continuum component.

On the other hand, Fig. [10](#page-6-0) shows results of the conventional mass spectrum,  $|t_{22}|^2 k_2$ , including the interference effect with the  $I = 0$   $L = 0$   $\Sigma \pi$  continuum. The interference effect is rather large, since the entrance going to  $\Lambda^*$  consists of just  $\Sigma \pi$  continuum components, which make the resonance shape



FIG. 9. (Color online) Transition mass spectrum,  $|t_{21}|^2 k_2$ , including both the  $\bar{K}N$  threshold effect and the interference effect with the  $I = 0 L = 0 \Sigma \pi$  continuum. All the heights are normalized to a same value.

<span id="page-6-0"></span>

FIG. 10. (Color online) Conventional mass spectrum,  $|t_{22}|^2 k_2$ , including both the  $\bar{K}N$  threshold effect and the interference effect with the  $I = 0$   $L = 0$   $\Sigma \pi$  continuum. All the heights are normalized to a same value.

deform appreciably. The peak shift comes almost from the interference with the  $I = 0$   $L = 0$   $\Sigma \pi$  continuum, as seen from an inflection at the pole position and a succeeding interference minimum (see Fig. 8(b) of [\[35\]](#page-8-0)). The CLAS data [\[36\]](#page-8-0) seem to be a case of  $|t_{22}|^2 k_2$  where the coupling

with the  $\Sigma \pi$  continuum becomes significant. The interference between  $I = 0$  and  $I = 1 \Sigma \pi$  amplitudes gives rise to a strong charge dependence of  $\Sigma^+\pi^-$ ,  $\Sigma^0\pi^0$ , and  $\Sigma^-\pi^+$  mass spectra.

The HADES data are well fitted with the transition mass spectrum,  $|t_{21}|^2 k_2$ , as seen from the resemblance between  $\Gamma =$ 60 or 50 MeV curves of Fig. [9](#page-5-0) and (a) or (b) of Fig. 11. It is noted that the peak shift takes place mainly due to the  $\bar{K}N$ threshold effect in this case.

#### **C. Deduced mass and width**

The HADES spectra, as given in Fig. [1](#page-1-0) of [\[30\]](#page-8-0), indicate that the spectra of the two charged channels are similar to each other, yielding nearly the same *M* values. This fact indicates that the  $\Sigma \pi$  resonance is formed by nearly pure charged states,  $\Sigma^+\pi^-$  and  $\Sigma^-\pi^+$ , without isospin mixing. It also justifies the use of  $T_{21}$  for the analysis of  $M(\Sigma \pi)$  in the case of pp reactions. On the other hand, the statistical fluctuation of each charged-channel spectrum is rather large. Thus, for the final analysis we use the sum data of HADES ( $\Sigma^+\pi^- + \Sigma^-\pi^+$ ), which is presented in Fig.  $1(c)$  of [\[30\]](#page-8-0). Keeping the last three components of Eq.  $(26)$  fixed, we fit the experimental data of  $Y_{\Lambda^*}(x)$  with  $n = 21$  data points in the range of 1300 to 1550 MeV/ $c^2$  (closed points with error bars in Fig. 11) by assumed theoretical functions *S*(*x*).



FIG. 11. (Color online) Comparison of HADES data ( $\Sigma^+\pi^- + \Sigma^-\pi^+$ , closed squares) at  $T_p = 3.50$  GeV [\[30\]](#page-8-0) with best-fit theoretical spectral functions *S*(*x*). (a) Best-fit HKAY curves (with  $\chi^2 = 9.5$ ,  $M = 1405^{+11}_{-9}$  MeV/c<sup>2</sup>, and  $\Gamma = 62 \pm 10$  MeV). (b) *AY* model with the PDG parameters (with  $\chi^2 = 14$ ,  $M = 1405.1^{+1.3}_{-1.0}$  MeV/c<sup>2</sup>, and  $\Gamma = 50$  MeV [\[8\]](#page-8-0)). The *Chiral* model using HW's  $T_{21}$  [with  $\chi^2 = 111$ , (c)] and  $T_{22}$ [with  $\chi^2 = 39$ , (d)].

<span id="page-7-0"></span>

FIG. 12. (Color online) Confidence level contours from  $\chi^2$  fitting of the HADES data of  $\Sigma^+\pi^- + \Sigma^-\pi^+$  at  $T_p = 3.50$  GeV. The PDG values are also shown.

Generally, the experimental histogram,  $N_i$ ,  $i = 1, \ldots, n$ , with respective statistical errors,  $\sigma_i$ , is fitted to a theoretical curve,  $S(x; M, \Gamma)$ , with  $x = MM(pK^+)$  involving the mass *M* and width  $\Gamma$  as free parameters by minimizing the  $\chi^2$  value:

$$
\chi^2(M,\Gamma) = \sum_{i=1}^n \left( \frac{N_i - S(x_i;M,\Gamma)}{\sigma_i} \right)^2.
$$
 (29)

Figure [11](#page-6-0) shows the results of the  $\chi^2$  fitting, where the HADES data ( $\Sigma^+\pi^- + \Sigma^-\pi^+$ ) at  $T_p = 3.50$  GeV [\[30\]](#page-8-0) are compared with best-fit theoretical spectral functions,  $S(x)$ . The present *AY* treatment (hereafter called HKAY), with the PDG values  $(M = 1405.1^{+1.3}_{-1.0} \text{ MeV}/c^2 \text{ and } \Gamma = 50 \text{ MeV [8])}$  $(M = 1405.1^{+1.3}_{-1.0} \text{ MeV}/c^2 \text{ and } \Gamma = 50 \text{ MeV [8])}$  $(M = 1405.1^{+1.3}_{-1.0} \text{ MeV}/c^2 \text{ and } \Gamma = 50 \text{ MeV [8])}$  adopted, gives a remarkable fitting with  $\chi^2 = 11$ , which is comparable with the statistically expected value,  $\langle \chi^2 \rangle_{\rm exp} \sim 19$ . On the other hand, the *Chiral* model gives much larger  $\chi^2$  values of ∼111, when *T*<sub>21</sub> is chosen, and of 39, when *T*<sub>22</sub> is chosen. Another *Chiral* model spectrum by Geng and Oset [\[26\]](#page-8-0) is almost identical to HW's  $T_{21}$ . Thus, the chiral models indicate a substantial deviation from the experimental data.

Furthermore, we can find best-fit values of  $(M, \Gamma)$  from drawing confidence contour curves by varying the parameters  $(M, \Gamma)$  in a plane. The results are shown in Fig. 12. From this contour mapping we obtain the following best-fit values with 68% confidence limits (1*σ* errors):

$$
M = 1405^{+11}_{-9} \text{ MeV}/c^2,
$$
 (30)

$$
\Gamma = 62 \pm 10 \text{ MeV.}
$$
 (31)

The best-fit curves are shown together with the experimental points in Fig. [11.](#page-6-0) The *M* value thus obtained from the present

analysis of the new HADES data confirms the traditional value [7[,8\]](#page-8-0).

#### **V. CONCLUDING REMARKS**

We have presented results of our calculation for the spectral shape of  $MM(pK^+)$  in the  $pp \to p\Lambda^*K^+$  reaction based on the  $\bar{K}N-\Sigma \pi$  coupled-channel treatment. We took into account both the entrance process and the decay process. The formation probability,  $W_{\text{form}}$ , of  $\Lambda^*$  in a *pp* collision and the decay rate,  $G(x)$ , to  $(\Sigma \pi)^0$  were formulated. The spectral function is given by  $S(x) = W_{form} \times G(x)$ . It was found to be asymmetric and skewed due to the kinematic limitation imposed by the entrance channel. The peak of  $S(x)$  is not located at the pole position.

With this tool in hand we analyzed the recent HADES data. The interference effects of the  $\bar{K}N-\Sigma \pi$  resonance with the  $I = 0$  and 1  $\Sigma \pi$  continuum are considered. Although the observed spectra of  $MM(pK^+)$  appear to show the peak position at around 1385 MeV/ $c^2$ , the  $\chi^2$  fitting by our theoretical spectral functions provided  $M = 1405^{+11}_{-9}$  MeV/ $c^2$ . This value is in good agreement with the values obtained from a recent analysis [\[17\]](#page-8-0) of an old experimental data of stopped- $K^-$  in <sup>4</sup>He [\[19\]](#page-8-0), taken up as the updated PDG value  $(M = 1405.1^{+1.3}_{-1.0} \text{ MeV}/c^2)$  [\[8\]](#page-8-0). On the other hand, the *Chiral* model with *M* ∼ 1420 MeV/ $c^2$  cannot reproduce the experimental data.

The Faddeev method is suitable for treating final-state interactions of three particles. However, it is difficult to apply this method to the present high-energy *p*-induced processes where so many partial waves are involved. On the other hand, for the low-energy  $K^- + d$  reaction Révai [[37\]](#page-8-0) succeeded in extracting the  $\Lambda(1405)$  resonance structure by using the Faddeev method. We are considering an analysis of future data of stopped *K*<sup>−</sup> on *d*, proposed in [\[18,20\]](#page-8-0), by fully taking account of final-state interactions in the Faddeev formalism.

The proton angular distribution in  $\Lambda^*$  production was also calculated. The isotropic distribution observed in HADES [\[30\]](#page-8-0) and DISTO [\[33,34\]](#page-8-0) were explained by a short-range collision with an intermediate boson mass heavier than the *ρ* meson mass. This is consistent with the calculated large cross section for the production of *K*−*pp* in *pp* collisions [4], which has recently been observed in DISTO experiments [\[32\]](#page-8-0).

### **ACKNOWLEDGMENTS**

This work is supported by a Grant-in-Aid for Scientific Research from the Ministry of Science, Research, and Technology of Iran and by a Grant-in-Aid for Scientific Research from Monbukagakusho of Japan. One of us (T.Y.) acknowledges support by the Alexander von Humboldt Foundation, Germany.

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